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# TRANSMITTAL FORM

**FORM**(to be used for all correspondence after initial filing)

| Application Number   | 10/016,941        |
|----------------------|-------------------|
| Filing Date          | December 13, 2001 |
| First Named Inventor | Errico            |
| Group Art Unit       | Not yet Assigned  |
| Examiner Name        | Not yet Assigned  |
| Attorney Docket      | 7146.121          |

FEB S & 2002

| )I   |                        |   |   |   |  |  |  |  |  |
|--|------------------------|---|---|---|--|--|--|--|--|
| 1  |                        | Examiner Name   | yet Assigned                              |   |  |  |  |  |  |
| Total Number of Pages in this Submission   |                        | Attorney Docket<br>Number                                       | 71  | 46.121  |  |  |  |  |  |
| ENCLOSURES (check all that apply)  |                        |   |   |   |  |  |  |  |  |
| Fee transmittal  |                        | signment Papers (for an   |   | ☐ After Allowance Communication                                   |  |  |  |  |  |
| ☐ Fee attached form  | 1                      | ication)  |   | to Group  |  |  |  |  |  |
| ☐ Amendment  | ☐ Dra                  | awing(s)  | ☐Appeal Communication to Board            |   |  |  |  |  |  |
| ☐ After Final/ Response  |                        |   |   | of Appeals and Interferences                                      |  |  |  |  |  |
| ☐ Affidavits/Declaration(s)  | Lic                    | ensing Related Papers   |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |  |  |
| Extension of Time Request  |                        | tition Routing Slip (PTO/SE<br>Accompanying Petition            | 3/69)                                     | ☐ Proprietary Information   |  |  |  |  |  |
| ☐ Express Abandonment Request  | 1—                     | tition to Convert to a Provi                                    | sional                                    | ☐ Status Letter   |  |  |  |  |  |
| ☑Information Disclosure Statement  |                        | wer of Attorney, Revocatio<br>e of Correspondence Addr          | Additional Enclosures<br>(identify below) |   |  |  |  |  |  |
| Certified copy of Priority Documents   | ☐ Tei                  | ☐ Terminal Disclaimer ☐ Small Entity Statement                  |   | Photocopies of 27 references Acknowledgment Postcard              |  |  |  |  |  |
| Response to Missing Parts/ Incomplete Application  | ☐ Sm                   |   |   | RECEIVED  |  |  |  |  |  |
| Response to Missing Parts  | ☐ Re                   | ☐ Request for Refund  |   | MAR 0 1 2002  |  |  |  |  |  |
| Under 37 CFR 1.52 or 1.53  | Remar                  | Remarks: Technology Center 210                                  |   |   |  |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT  |                        |   |   |   |  |  |  |  |  |
| Firm or Individual Name  | Kevin L                | Kevin L. Russell of CHERNOFF, VILHAUER, Mc CLUNG & STENZEL, LLP |   |   |  |  |  |  |  |
| Signature  |                        |   |   |   |  |  |  |  |  |
| Date   | Feb                    | ruary 18, 2002  | )   |   |  |  |  |  |  |
|  | CE                     | ERTIFICATE OF MAILING   |   | ·   |  |  |  |  |  |
| I hereby certify that, on the date below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231  with sufficient postage as first class mail  as "Express Mail Post Office to Addressee" - mailing label no. |                        |   |   |   |  |  |  |  |  |
| Type or print name Kevin L. Russ   | ell /                  | 7 /   |   |   |  |  |  |  |  |
| Signature  | Date February 18, 2002 |   |   | February 18, 2002   |  |  |  |  |  |



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### PATENT APPLICATION EXAMINING OPERATIONS

Errico et al.

Group Art Unit: Not yet Assigned

Serial No.:

10/016,941

Examiner: Not yet Assigned

RECEIVED

Filed:

December 13, 2001

MAR 0 1 2002

Title:

SYSTEM FOR PRESENTING AUDIO-VIDEO CONTENT

**Technology Center 2100** 

## INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §1.98

Chernoff, Vilhauer, McClung & Stenzel,LLP 1600 ODS Tower 601 S W Second Avenue Portland, Oregon 97204-3157

February 18, 2002

Box Patent Application Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicants submit herewith copies of publications which they are aware of and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits of the above-identified application.

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the publications are enclosed herewith set forth on the attached page of Form PTO-1449 (Modified).

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,

Kevin L. Russell

Attorney for Applicant Registration No. 38292

Tel: (503) 227-5631

Kevin L. Russell

### **CERTIFICATE OF MAILING**

I hereby certify that these documents are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Dated: February 18, 2002



FOR (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. KLR 7146.121

SERIAL NO. 10/016, RECEIVED

APPLICANT Errico et al.

MAR 0 1 2002

Technology Center 2100

FILING DATE December 13, 2001 **GROUP** 

Not yet Assigned

#### REFERENCE DESIGNATION

| U.S. PATENT DOCUMENTS |     |                    |            |                    |       |          |                               |
|-----------------------|-----|--------------------|------------|--------------------|-------|----------|-------------------------------|
| *EXAMINER<br>INITIAL  |     | DOCUMENT<br>NUMBER | DATE       | NAME               | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
| 2                     | AA  | 5,559,549          | 09/24/1996 | Hendricks et al.   |       |          |                               |
|                       | AB  | 5,600,364          | 02/04/1997 | Hendricks et al.   |       |          |                               |
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|                       |     | D 402,310          | 12/08/1998 | Hendricks          |       |          | <u> </u>                      |



| (A) |       | 8               | FOREIGN PA | TENT DOCUMENTS |       |          |      |         |
|-----|-------|-----------------|------------|----------------|-------|----------|------|---------|
| 6   | RADEM |                 |            |                |       |          | TRAN | SLATION |
|     |       | DOCUMENT NUMBER | DATE       | COUNTRY        | CLASS | SUBCLASS | YES  | NO      |
|     | ВА    |                 |            |                |       |          |      |         |
|     | вв    |                 |            |                |       |          |      |         |
|     | вс    |                 |            |                |       | DE       | CEIV | ED      |
|     | BD    |                 |            |                |       | n        | CLIV |         |

|   |    | OTHER ART | MAR U 1 2002           |
|---|----|-----------|------------------------|
|   | CA |           | Technology Center 2100 |
| , | СВ |           |                        |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

Information Disclosure Statement -- PTO-1449 (Modified)

Added page 1 of 2

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